IAP6 Rec'd PCT/PTO 27 Approach pro-1390 (Rev. 07-2005)
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|                  | ANSMITTAL LETTER TO THE UNITED STATES  | ATTORNEY'S DOCKET NUMBER 100717-683-WCG               |  |  |  |  |  |
|------------------|--|---|--|--|--|--|--|
|                  | DESIGNATED/ELECTÉD OFFICE (DO/EO/US)<br>ICERNING A SUBMISSION UNDER 35 U.S.C. 3'   | U.S. APPIdCATION-NO-(If-known see \$7 CFR 1.5)        |  |  |  |  |  |
|                  | TIONAL APPLICATION NO. INTERNATIONAL FILING DA 2004/011807 October 19, 2004  |   |  |  |  |  |  |
| TITLE OF         | INVENTION ACTIVE INGREDIENT FORMULATION  | `   |  |  |  |  |  |
| APPLICAN         | NT(S) FOR DO/EO/US<br>LE, Udo RECKMANN, Peter BAUR and Karl REIZLEIN   | ,   |  |  |  |  |  |
|                  | t herewith submits to the United States Designated/Elected Office  | (DO/EO/US) the following items and other information: |  |  |  |  |  |
|                  | The state of the s |   |  |  |  |  |  |
| 2. 🗔 1           | This is a SECOND or SUBSEQUENT submission of items concerning a su   | ubmission under 35 U.S.C. 371.                        |  |  |  |  |  |
|                  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  |   |  |  |  |  |  |
| 4. 🗖             | The US has been elected (Article 31).  | •   |  |  |  |  |  |
| 5. 🗸             | A copy of the International Application as filed (35 U.S.C. 371(c)(2))   | `   |  |  |  |  |  |
| . ,              | a.  is attached hereto (required only if not communicated by the In  | nternational Bureau).                                 |  |  |  |  |  |
|                  | b.  has been communicated by the International Bureau.   |   |  |  |  |  |  |
| _                | c. is not required, as the application was filed in the United States  | s Receiving Office (RO/US).                           |  |  |  |  |  |
| 6. 🔽             | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).   |   |  |  |  |  |  |
|                  | a. is attached hereto.   |   |  |  |  |  |  |
|                  | b. has been previously submitted under 35 U.S.C. 154(d)(4).  |   |  |  |  |  |  |
| <sub>7.</sub> ✓  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |   |  |  |  |  |  |
|                  | a. are attached hereto (required only if not communicated by the   | e International Bureau).                              |  |  |  |  |  |
|                  | b. have been communicated by the International Bureau.   |   |  |  |  |  |  |
|                  | c. have not been made; however, the time limit for making such   | amendments has NOT expired.                           |  |  |  |  |  |
| _                | d. 🗹 have not been made and will not be made.  |   |  |  |  |  |  |
| 8. 📙             | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  |   |  |  |  |  |  |
| 9. 🔲             | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |   |  |  |  |  |  |
| 10.              | An English language translation of the annexes of the International Preli<br>Article 36 (35 U.S.C. 371(c)(5)).   | iminary Examination Report under PCT                  |  |  |  |  |  |
| Items            | a 11 to 20 below concern document(s) or information included:  |   |  |  |  |  |  |
| 11.              | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  |   |  |  |  |  |  |
| 12.              | An assignment document for recording. A separate cover sheet in comp   | pliance with 37 CFR 3.28 and 3.31 is included.        |  |  |  |  |  |
| 13. 🔽            | A preliminary amendment.   |   |  |  |  |  |  |
| 14.              | An Application Data Sheet under 37 CFR 1.76.   |   |  |  |  |  |  |
| 15.              | A substitute specification.  |   |  |  |  |  |  |
| 16.              | A power of attorney and/or change of address letter.   |   |  |  |  |  |  |
| 17.              | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.  |   |  |  |  |  |  |
| 18. 🗸            | A second copy of the published International Application under 35 U.S.C  |   |  |  |  |  |  |
| <sub>19.</sub> 🗸 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  |   |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.

IAP12 Rec'd PCT/PTO 2 7 APR 2006
PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| U.S. APPLICAT  | A 10/57/608 PCT/EP2004/011807  |   | ATTORNEY'S DOCKET NUMBER 100717-683-WCG                    |                    |                        |              |  |
|--|--|---|--|--------------------|------------------------|--------------|--|
| 20/3//000  |  |   |  |                    |                        |              |  |
| 20. Other items or information:  Express Mail Certificate, Letter to the Official Draftsperson and 1 drawing sheet and Return  |  |   |  |                    |                        |              |  |
| Receipt P  |  | ie, Letter to t                           | ne Official Draitspe                                       | rson and 1 dra     | awing sneet and i      | Return       |  |
| Receipt  | ostcard  |   | ·.   |                    |                        |              |  |
|  | owing fees have b  |   |  |                    | CALCULATIONS           | PTO USE ONLY |  |
| 21. 🗸 Basi   | c national fee (37   | CFR 1.492(a))                             |  | \$300              | <sup>\$</sup> 300.00   | <u> </u>     |  |
| 22. 📝 Exan   | nination fee (37 CF  | R 1.492(c))                               |  |                    |                        |              |  |
| If the written opin  | nion prepared by IS  | SA/US or the internations satisfy provise | ational preliminary examinations of PCT Article 33(1)-(4). | on report prepared | \$                     |              |  |
|  |  |   |  |                    | 200.00                 |              |  |
| 23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article;33(1)-(4)  |  |   |  |                    | \$                     | ,            |  |
| previoust  | y communicated to  | the US by the IB                          | than the US and provided to                                | \$400              | 400.00                 |              |  |
|  | TOTAL OF 21, 22  |   |  |                    | 900.00                 |              |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |  |   |  |                    |                        |              |  |
| Total Sheets.  | Sheets, Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) |   |  |                    |                        |              |  |
| 27 - 100 =   | 0 /50 =  | 0   | ۔<br>سوند ویکی ا   | × \$250            | <b>\$</b> 0            |              |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR-1.492(h)).  |  |   |  |                    |                        |              |  |
| CLAIMS   | NUMB   | ER FILED                                  | NUMBER EXTRA   | RATE               | \$                     |              |  |
| Total claims   | 15   | - 20 =                                    | 0  | x \$ 50            | \$ O                   |              |  |
| Independent clai   | ms 3   | - 3 =                                     | 0  | x \$200            | \$ 0                   |              |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360  |  |   |  |                    | \$ 0                   |              |  |
| TOTAL OF ABOVE CALCULATIONS =  |  |   |  |                    | \$ 0                   | ·            |  |
| 33   | aims small entity st   | atus. See 37 CFR                          | 1.27. Fees above are reduce                                | ed by 1⁄2.         |                        |              |  |
| SUBTOTAL =   |  |   |  |                    | \$ 900.00              | <u> </u>     |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |  |   |  |                    | \$                     |              |  |
| TOTAL NATIONAL FEE =   |  |   |  |                    | \$£ 900.00 °           |              |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +  |  |   |  |                    | \$                     |              |  |
| TOTAL FEES ENCLOSED =  |  |   |  |                    | \$ 900.00              |              |  |
|  |  |   |  |                    | Amount to be refunded: | \$           |  |
|  |  | <del></del>                               |  |                    | Amount to be charged   | \$           |  |

| مانيان.<br>-            | Approved for use through 3/31/2007, OMB 0651-0021  Approved for use through 3/31/2007, OMB 0651-0021  U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  Under the Paperwork Reduction Act of 1995, no persons are required to respond to a religious of the matter of the safety of the saf |
|-------------------------|--|
| b. d<br>d.              | A check in the amount of \$ to cover the above fees is enclosed.  Please charge my Deposit Account No. 14-1263 in the amount of \$_900.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1263 A duplicate copy of this sheet is enclosed.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  |
| SEND A Custo NORI 875 T | Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed need to restore the International Application to pending status.  ALL CORRESPONDENCE TO:  SIGNATURE  William C. Gerstenzang  NAME  Third Avenue, 18th Floor  York, New York 10022   |

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### 10/577608

## IAP12 Rec'd PCT/PTO 27 APR 2006

100717-683-WCG

Applicants

Axel EBLE, et al.

Serial No.

TBA (371 of PCT/EP2004/011807)

Filed

Herewith (Intl Filing Date October 19, 2004)

For

SOLID ACTIVE INGREDIENT FORMULATION

#### EXPRESS MAIL CERTIFICATE

| "Express Mail" mailing | label number | EV921046118US |
|------------------------|--------------|---------------|
| Date of Deposit        | April 27, 2  | 2006          |

I hereby certify that the following items:

- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (in duplicate) CHARGE DEPOSIT ACCOUNT \$900.00
- 2. English language translation of international application (Specification pages 1-21; claims pages 22-26 and abstract page 27)
- 3. First Preliminary Amendment (16 sheets)
- 4. Second copy of published international application WO 2005/044221
- 5. Second copy of English language translation of international application
- 6. Letter to the Official Draftsperson w/ 1 drawing sheet
- 7. Application Data Sheet (4 sheets)
- 8. PCT Request form PCT/RO/01
- 9. Self-Addressed Postcard

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Rv

Zsuzsa Schuste

# 10/577608 IAP12 Rec'd PCT/PTO 27 APR 2006

100717-683-WCG

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Axel EBLE, et al.

Serial No.

TBA (371 of PCT/EP2004/011807)

Filed

Herewith (Intl Filing Date October 19, 2004)

For

SOLID ACTIVE INGREDIENT FORMULATION

April 27, 2006

**Art Unit** 

**TBA** 

Examiner

TBA

\_\_\_\_\_

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### LETTER TO THE OFFICIAL DRAFTSMAN

Submitted herewith for entry and approval is 1 sheet of a formal drawing in the abovereferenced application.

Respectfully submitted,

NORRTS, McLAUGHLIN & MARCUS, P.A.

William C. Gerstenzang Reg. No. 27,552

WCG/zs

Enc. - Formal Drawing (1 sheets)

875 Third Avenue, 18<sup>th</sup> Floor New York, NY 10022 Phone: (212) 808-0700

Fax: (212) 808-0844

I hereby certify that this correspondence is being deposited with the United States Postal Services as Express Mail, Label # EV921046118US in an envelope addressed to: Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By\_

Zsuzsa Schuster

Date April 27/2006